

## Original article

# Cost-effectiveness of aripiprazole once-monthly compared with paliperidone palmitate once-monthly injectable for the treatment of schizophrenia in the United States

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**Abstract**
**Objective:**

To develop a decision-analytic model to estimate the cost-effectiveness of initiating maintenance treatment with aripiprazole once-monthly (AOM) vs paliperidone long-acting injectable (PLAI) once-monthly among patients with schizophrenia in the US.

**Methods:**

A decision-analytic model was developed to evaluate a hypothetical cohort of patients initiating maintenance treatment with AOM or PLAI. Rates of relapse, adverse events (AEs), and direct medical costs were estimated for 1 year. Patients either remained on initial treatment or discontinued treatment due to lack of efficacy, AEs, or other reasons, including non-adherence. Data from placebo-controlled pivotal trials and product prescribing information (PI) were used to estimate treatment efficacy and AEs. Analyses were performed assuming dosing of clinical trials, real-world practice, PIs, and highest therapeutic dose available, because of variation in practice settings. The main outcome of interest was incremental cost per schizophrenia hospitalization averted with AOM vs PLAI.

**Results:**

Based on placebo-controlled pivotal trials' dosing, AOM improved clinical outcomes by reducing schizophrenia relapses vs PLAI (0.181 vs 0.277 per person per year [pppy]) at an additional cost of US\$1276 pppy, resulting in an incremental cost-effectiveness ratio (ICER) of US\$13,280/relapse averted. When PI dosing was assumed, this ICER increased to US\$19,968/relapse averted. When real-world dosing and highest available dosing were assumed, AOM was associated with fewer relapses and lower overall treatment costs vs PLAI.

**Conclusions:**

AOM consistently provided favorable clinical benefits. Under various dosing scenarios, AOM results indicated fewer relapses at lower overall costs or a reasonable cost-effectiveness threshold (i.e., less than the cost of a hospitalization relapse) vs PLAI. Given the heterogeneous nature of schizophrenia and variability in treatment response, health plans may consider open access for treatments like AOM. Since model inputs were based on data from separate placebo-controlled trials, generalization of results to the real-world setting is limited.

**Introduction**

Schizophrenia presents a significant economic burden to society and healthcare plans with an estimated US healthcare cost of US\$22.7 billion in 2002 based on direct healthcare costs (drug acquisition, inpatient, outpatient, and long-term care), which is equivalent to US\$46.7 billion in 2012 (when adjusted for

inflation using the US Consumer Price Index Medical Care Category, 2013)<sup>1</sup>. Relapses in patients with schizophrenia often require hospitalization, contributing substantially to the total healthcare costs associated with this disease<sup>2</sup>, highlighting the importance of relapse prevention. Such relapses may also involve intensive management of patients in the form of emergency room visits, visits to general physicians and psychiatric care, and other outpatient care<sup>1</sup>. With the prevalence of schizophrenia in the US estimated at 2.4 million adults (or 1.1% of the adult patient population)<sup>3</sup> and relapse rates at 40–50% at 1 year and 80% at 5 years<sup>4–6</sup>, an important aim of treatment is to reduce the risk of relapse-related hospitalizations, possibly by improving adherence to antipsychotic therapy<sup>7,8</sup>.

US and international guidelines recommend that clinicians consider patient preference and potential benefits of long-acting injectable (LAI) antipsychotic medications when making decisions about choice of medication in schizophrenia<sup>9–14</sup>. LAI antipsychotic medications have been reported to reduce schizophrenia relapse relative to oral agents in retrospective studies<sup>15,16</sup>, and several second-generation (atypical) LAI antipsychotic medications are now available, adding to the treatment choices for individual patients<sup>17</sup>. There are multiple potential clinical benefits of LAI treatment. In addition to the fact that LAIs provide sustained drug availability due to the drug formulation benefits of long-acting dosage forms, patients treated with LAIs must visit the clinic every 1–6 weeks<sup>18</sup>. This additional interaction with healthcare professionals may serve to facilitate treatment persistence and adherence, and help to reduce the risk of future relapses and hospitalizations<sup>19</sup>. However, despite potential advantages with LAIs, use of LAI treatment can still be optimized in clinical practice in the US<sup>14</sup>.

Currently, only two once-monthly, second-generation LAIs that do not require special post-injection monitoring are available: aripiprazole once-monthly (AOM)<sup>20</sup> and paliperidone palmitate LAI (PLAI)<sup>21</sup>; risperidone is twice monthly and, hence, not included in this list. AOM is the only LAI antipsychotic with partial agonist activity at dopamine D<sub>2</sub> and serotonin 5-HT<sub>1A</sub> receptors. Aripiprazole's pharmacologic profile thus differs from currently marketed LAI atypical antipsychotics, which are all full antagonists at the dopamine D<sub>2</sub> receptor.

The choice of initial LAI for the treatment of patients with schizophrenia is of paramount importance since relapse prevention is a major goal of antipsychotic therapy. Patients who discontinue treatment with an LAI (e.g., due to relapse or adverse events) and are switched to a different medication may be less likely to continue taking the second treatment for a variety of reasons, including the perception that the newer treatment will be ineffective. Consequently, understanding which LAI is most likely to be taken consistently without requiring a medication switch to aid patient treatment persistence is of value to

health plans in the US, since they evaluate drugs for various levels of formulary placement. Further, selection of an appropriate treatment for patients with schizophrenia should be based on effectiveness and total cost of care, i.e., both the drug price (acquisition cost) and total medical costs of managing a patient while on treatment, including cost of outcomes such as hospitalization due to relapses and drug-related adverse events.

Given the high costs of schizophrenia care, a key consideration for healthcare decision-makers is the assessment of cost-effectiveness of treatments among patients receiving LAIs. Decision analytic modeling provides a methodological framework for policy and healthcare decision-makers to gain insights into the clinical benefits and associated costs of various treatment options. Such models have been used in the evaluation of LAIs for schizophrenia treatment<sup>22</sup>. A measure that is useful for decision-makers is the incremental cost-effectiveness ratio (ICER): incremental cost/incremental effectiveness, where the former is the costs associated with use of drug A minus that of drug B, and the latter is the difference between number of relapses associated with drugs A and B<sup>22,23</sup>. Previous cost-effectiveness evaluations regarding treatments for schizophrenia have focused on risperidone LAI (administered every 2 weeks)<sup>24</sup> and PLAI<sup>22</sup>.

To further our understanding of the potential clinical and economic trade-offs associated with AOM vs PLAI treatment, a decision-analytic model was developed to compare direct medical costs, relapse-related hospitalizations, and adverse events between treatments.

## Methods

### Model overview

To increase the utility and clinical relevance of this cost-effectiveness model to US payers, the following factors were considered in model development. First, US payers are primarily interested in economic models that quantify the benefit of treatments over a short time horizon. This is especially relevant in modeling schizophrenia outcomes, since clinical benefits due to relapse prevention are typically seen within the first year of treatment. The current model, therefore, compared cost-effectiveness of AOM vs PLAI, both administered monthly, over a 1-year time horizon. Additionally, US payers prefer economic models that are transparent, clinically meaningful, intuitive, and valid. The current model thus used efficacy and adverse event data directly from AOM<sup>25</sup> and PLAI<sup>26</sup> placebo-controlled trials and prescribing information<sup>20,21</sup>. Because of a lack of comparative placebo-controlled data, risperidone LAI was not included in the model as an initial therapeutic choice, but it was included under the category of other LAI treatments. Finally, because there is significant dosing

variability in clinical practice and clinical trial settings, model results are presented for multiple dosing assumptions.

## Model structure

A 1-year decision-analytic model was developed to estimate the cost-effectiveness of initiating treatment with AOM vs PLAI, with results reported in costs per schizophrenia relapse-related hospitalizations averted in the US. The model evaluated a cohort of patients with schizophrenia who were eligible to receive an LAI and compared patients initiating AOM or PLAI once-monthly as index therapies. TreeAge Pro 2012 software (TreeAge Software Inc., Williamstown, MA) was used to develop the model and Figure 1 shows a schematic representation of the model used.

## Model assumptions

Patient progression through treatment pathways was based on evidence from pivotal clinical trials used in the drug approval process<sup>25,26</sup>. Patients either remained on initial treatment throughout the course of 1 year or discontinued treatment at 6 months because of relapse/lack of treatment efficacy, adverse events, or other reasons, including non-adherence. In the model, treatment discontinuation is assigned at 6 months because the model estimates an average duration of treatment that ranges from a short period to up to 1 year; this assumption was consistent between the two treatment arms. Those who discontinued treatment

because of relapse/lack of treatment efficacy or adverse events switched from their initial treatment to a different standard-of-care (SOC) LAI, whereas those who discontinued treatment because of other reasons did not receive additional therapy. The SOC LAI treatment option consisted of commonly used LAIs in the US, including fluphenazine, haloperidol, and risperidone.

Patients could experience adverse events while on treatment and, at all points in the model, patients could experience schizophrenia relapse resulting in hospitalization. Clinical estimates including relapse rates for patients on SOC LAI<sup>27</sup> and no treatment<sup>28</sup>, and adverse event rates<sup>29,30</sup>, were derived from published literature as described in more detail later. All actively treated patients were assumed to require one office visit per month for receiving their injection (i.e., were considered to be clinically stable and not requiring more frequent visits). Patients who switched treatments accrued the cost of three additional office visits to reflect routine clinical practice where physicians may monitor patients at the time of switching treatments in search of the optimal treatment and dosage.

## Clinical and cost model inputs

### Relapse rates

Patients were at risk of disease relapse throughout the course of a year, regardless of treatment type. Table 1 shows the different relapse rates used in the model for each index therapy, SOC LAI, and no treatment based on the literature. For patients on index therapies, relapse

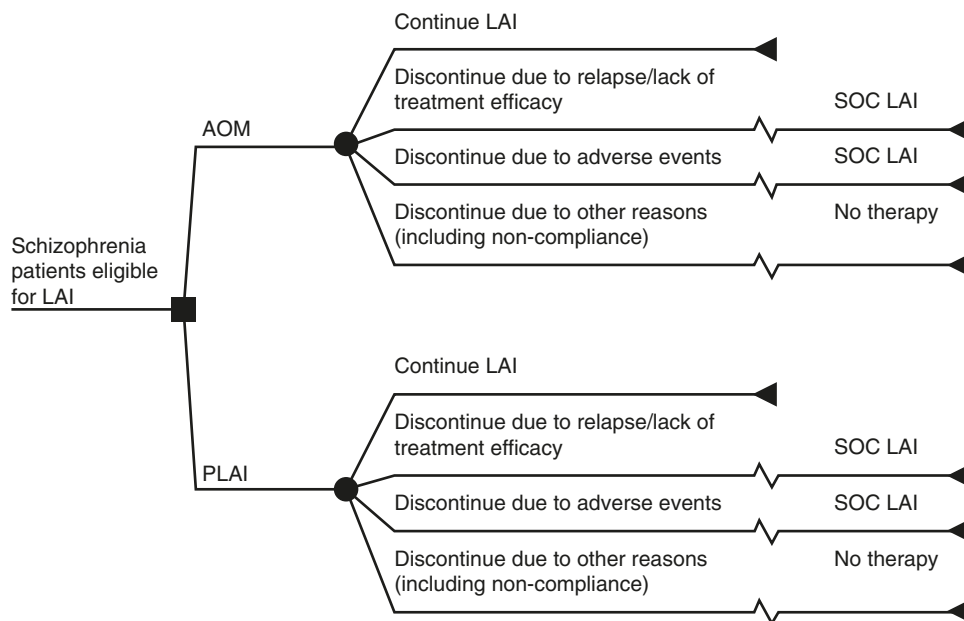


Figure 1. One-year schematic decision tree model for antipsychotic drugs in patients with schizophrenia.

AOM, aripiprazole once-monthly; LAI, long-acting injectable; P, paliperidone once-monthly; SOC, standard-of-care. SOC LAI includes fluphenazine, haloperidol, and risperidone, and the model assumes the average of relapse rates and adverse events for SOC.

Table 1. Efficacy and safety model inputs.

Parameter	Efficacy model inputs: relapse rate annual probabilities*			Safety model inputs: adverse event rate annual probabilities†				Source
	Value	Source	Akathisia	Hyperprolactinemia	Weight gain	Hyperlipidemia	EPS	
AOM	0.100	Kane <i>et al.</i> <sup>25</sup>	0.08	0.00	0.08	0.03	0.13	AOM and PLAI prescribing information <sup>20,21</sup>
PLAI	0.175	Hough <i>et al.</i> <sup>26</sup>	0.06	0.02	0.05	0.04	0.22	Fluphenazine LAI <sup>31</sup> , haloperidol LAI <sup>32</sup>
SOC LAI‡	0.392	Kishimoto <i>et al.</i> <sup>27</sup>	0.06	0.04	0.04	0.04	0.17	and risperidone LAI <sup>24</sup> ; prescribing information
No treatment	0.695	Viguera <i>et al.</i> <sup>28</sup>	n/a	n/a	n/a	n/a	n/a	n/a

AOM, aripiprazole once-monthly; EPS, extrapyramidal symptoms; LAI, long-acting injectable; n/a, not applicable; P, paliperidone once-monthly; SOC, standard-of-care.

\*For the model, 12-month rates were converted to 6-month rates using the formula: 6-month probability = 1 - EXP(-6-month rate); †Best-supportive-care patients assumed to experience no adverse events; ‡SOC LAI includes fluphenazine, haloperidol, and risperidone, and the model assumes the average of relapse rates and adverse events for SOC.

rates were based on registrational, placebo-controlled clinical trial data<sup>25,26</sup>.

Because patients who changed treatment did so after 6 months of index therapy, relapse rates estimated from the literature were converted to 6-month probabilities as appropriate. For patients on each of the three therapies included in the SOC LAI, the risk of relapse was reported as 6-month rates<sup>27</sup>, and averaged before converting to a single 6-month probability for use in the model. For patients who did not receive any treatment, the relapse rate was based on data from a study conducted by Viguera *et al.*<sup>28</sup>, where higher relapse rates were reported in patients without treatment.

### Adverse events

The following clinically relevant adverse events reported in the treatment prescribing information were included in the model: akathisia, weight gain, hyperlipidemia, and extrapyramidal symptoms. Hyperprolactinemia was also added in the model, since prolactin-related side effects can be of significant concern in patients treated with LAIs<sup>33</sup>. Short-term trials of the oral version of index therapies were used to base model rates of adverse events, since these trials may provide a better perspective of safety and tolerability. Rates from the trials were applied annually, regardless of the duration of the trial; hence, the events reported were assumed to occur throughout the course of a year without further extrapolation.

Indirect comparisons were conducted using data from each product's prescribing information to determine the rates of adverse events for all therapies. AOM was selected as the reference drug, and the adverse event rates for PLAI, fluphenazine LAI, haloperidol LAI, and risperidone LAI were calculated. Since no cases of hyperprolactinemia were reported in the trial informing AOM rates, indirect comparisons were not possible, and the rates reported for all other therapies were not adjusted. The results of the indirect comparisons for the three SOC LAI products were averaged for use in the model. Table 1 describes the rates of adverse events for patients on AOM, PLAI, and SOC LAIs.

### Costs

Costs were estimated using publicly available databases<sup>34-36</sup>. The cost of drugs and treatment administration were assumed to be fixed and not vary by geographic location or contracted rates. Costs were captured in the model by multiplying resource utilization by unit costs, where costs were reported in 2013 US dollars and inflated using the medical care component of the Consumer Price Index<sup>37</sup> (Table 2). Additionally, because the model was a 1-year time horizon model, no discount rate was applied to the costs or benefits. Therapy acquisition costs were based on published wholesale acquisition cost pricing.

Therapy administration costs were estimated assuming 1-h of nurse time per injection and using wage estimates from the US Bureau of Labor Statistics<sup>35,39</sup> (Table 2). Costs of relapse included those of hospitalization and other services including: emergency room visits, physician visits, mental health clinic visits, home care, and social/group interventions<sup>39,40</sup> (Table 3). Adverse event costs were based on treatments previously reported<sup>30,38</sup>.

Table 2. Model cost estimates.

Parameter	Value (USD)*	Source
Product acquisition for SOC LAIs		PriceRX <sup>34</sup>
SOC LAI	\$2665.49	
Fluphenazine†	\$280.31	
Haloperidol‡	\$270.01	
Risperidone§	\$7446.14	
Product administration		US Bureau of Labor Statistics <sup>35</sup>
AOM	\$543.97	
PLAI	\$589.30	
SOC LAI	\$770.63	
Stable schizophrenia¶	\$1075.70	
Relapse (per event)	\$25,394.63	HCUP 2010 <sup>36</sup>
Product administration (per event)	\$45.33	US Bureau of Labor Statistics <sup>35</sup>
Switching medication	\$263.98	US Bureau of Labor Statistics <sup>35</sup>
Adverse events (per event)		
Akathisia	\$215.67	Edwards <i>et al.</i> <sup>38</sup>
Hyperprolactinemia	\$1285.00	Furiak <i>et al.</i> <sup>30</sup>
Hyperlipidemia	\$892.22	Furiak <i>et al.</i> <sup>30</sup>
Weight gain	\$768.65	Edwards <i>et al.</i> <sup>38</sup>
EPS	\$263.67	Edwards <i>et al.</i> <sup>38</sup>

AOM, aripiprazole once-monthly; EPS, extrapyramidal symptoms; LAI, long-acting injectable; P, paliperidone once-monthly; SOC, standard-of-care; USD, United States dollars.

\* All product costs based on wholesale acquisition cost pricing and reported in 2013 USD as annual cost, unless otherwise noted; †Fluphenazine patients received one 25-mg injection every 4 weeks; ‡Haloperidol patients received one 100-mg injection every 4 weeks; §Risperidone patients received one 25-mg injection every 2 weeks; ||AOM was administered 12 times annually, PLAI 13 times annually, and SOC LAI 17 times annually; ¶Costs include 12 physician visits.

Table 3. Cost of relapse.

	Units	Unit cost (USD)*	Total cost (USD)	Source
Hospital treatment per day	1	\$569.51	\$569.51	HCUP 2010 <sup>40</sup>
Emergency room visit	1	\$545.95	\$545.95	Furiak <i>et al.</i> <sup>30</sup>
Physician visit	1	\$89.64	\$89.64	US Bureau of Labor Statistics <sup>39</sup>
Mental health clinic visit	2	\$84.53	\$169.05	Furiak <i>et al.</i> <sup>30</sup>
Home care in hours	2.75	\$92.84	\$255.31	Furiak <i>et al.</i> <sup>30</sup>
Social/group intervention in hours	1.5	\$81.75	\$122.63	Furiak <i>et al.</i> <sup>30</sup>
Hospitalization	8.8	\$2686.65	\$23,642.54	HCUP 2010 <sup>40</sup>
Total cost			\$25,394.63	

USD, United States dollars.

\*All product costs based on wholesale acquisition cost pricing and reported in 2013 USD as annual cost, unless otherwise noted.

## Cost-effectiveness

Cost-effectiveness of AOM compared with PLAI was evaluated by calculating the additional costs per relapse averted as follows: (1) costs and number of relapses for patients starting on each index therapy, and (2) incremental costs and the decrease in number of relapses when using AOM compared with PLAI. Dividing these differences resulted in the additional cost per relapse averted.

Given the variation in dosing based on practice setting, four different dosing strategies were considered (Table 4). These dosing strategies were: dosing observed in the Kane *et al.*<sup>25</sup> and Hough *et al.*<sup>26</sup> trials; real-world dosing based on observed utilization for both index therapies, as described in Table 4; prescribing information dosing; and highest available dosing with equivalent treatment efficacy. Finally, one-way sensitivity analyses were conducted on key model parameters such as relapse rates and cost of adverse events to assess the impact of these parameters on ICER results.

## Results

A summary of the cost-effectiveness analyses using the four dosing strategies is depicted in Figure 2. Using dosing from the Kane *et al.*<sup>25</sup> and Hough *et al.*<sup>26</sup> trials, the use of AOM was found to reduce schizophrenia relapses compared with PLAI by 0.096 per person per year (0.181 vs 0.277; Table 5). This additional clinical benefit of fewer relapses with AOM came at an increased cost of US\$1276 per person per year (US\$21,653 for AOM vs US\$20,377 for PLAI), generating an ICER of US\$13,280 per relapse averted. In using dosing from the Kane *et al.*<sup>25</sup> and Hough *et al.*<sup>26</sup> trials, the assumptions were conservative with respect to AOM, since doses did not include a commonly prescribed high dose (234 mg) of PLAI. For PLAI, dosing observed in the Hough *et al.*<sup>26</sup> trial was above that in the prescribing information<sup>21</sup>, but below that found in the real-world setting<sup>41</sup>. Thus, when real-world dosing was assumed, PLAI was more costly than when dosing from the Hough *et al.*<sup>26</sup> trial was used, resulting in AOM being the

Table 4. Drug cost model inputs by dosing strategy.

Dosing strategy	Drug dosing	Annual drug cost input corresponding to drug dosing*
Dosing observed in Kane <i>et al.</i> <sup>25</sup> and Hough <i>et al.</i> <sup>26</sup> trials		
AOM	<ul style="list-style-type: none"> <li>14 days of oral therapy at 15 mg/day, followed by monthly injections<sup>25</sup> <ul style="list-style-type: none"> <li>96.3%, 400 mg</li> <li>3.7%, 300 mg</li> </ul> </li> </ul>	\$17,323.27
PLAI	<ul style="list-style-type: none"> <li>An injection of 234 mg at day 1 and 156 mg at day 8, followed by monthly injections<sup>26</sup> <ul style="list-style-type: none"> <li>69.5%, 156 mg</li> <li>28.5%, 78 mg</li> <li>2%, 39 mg</li> <li>0%, 234 mg following initiation</li> </ul> </li> </ul>	\$13,484.45
Real-world dosing		
AOM	<ul style="list-style-type: none"> <li>14 days of oral therapy at 15 mg/day, followed by monthly injections<sup>†</sup> <ul style="list-style-type: none"> <li>83%, 400 mg</li> <li>17%, 300 mg</li> </ul> </li> </ul>	\$16,745.52
PLAI	<ul style="list-style-type: none"> <li>An injection of 193 mg on average at day 1 and 164 mg on average at day 8, followed by monthly injections of (on average) 164 mg<sup>‡</sup></li> </ul>	\$15,865.80
Dosing based on prescribing information		
AOM	<ul style="list-style-type: none"> <li>14 days of oral therapy at 15 mg/day, followed by monthly injections<sup>20</sup> <ul style="list-style-type: none"> <li>100%, 400 mg</li> </ul> </li> </ul>	\$17,484.00
PLAI	<ul style="list-style-type: none"> <li>An injection of 234 mg at day 1 and 156 mg at day 8, followed by monthly injections of 117 mg<sup>21</sup></li> </ul>	\$12,885.00
Highest available dosing		
AOM	<ul style="list-style-type: none"> <li>14 days of oral therapy at 15 mg/day, followed by monthly injections                             <ul style="list-style-type: none"> <li>100%, 400 mg</li> </ul> </li> </ul>	\$17,484.00
PLAI	<ul style="list-style-type: none"> <li>13 injections of 234 mg</li> </ul>	\$22,384.00

AOM, aripiprazole once-monthly; LAI, long-acting injectable; P, paliperidone once-monthly; SOC, standard-of-care.

\*All product costs based on wholesale acquisition cost pricing and reported in 2013 USD as annual cost, unless otherwise noted; †A real-world dosing analysis of AOM using prescription data from IMS Health from the date of availability of AOM through to 7/15/2013 indicated that the utilization rate of 300 mg and 400 mg was 17% and 83%, respectively.

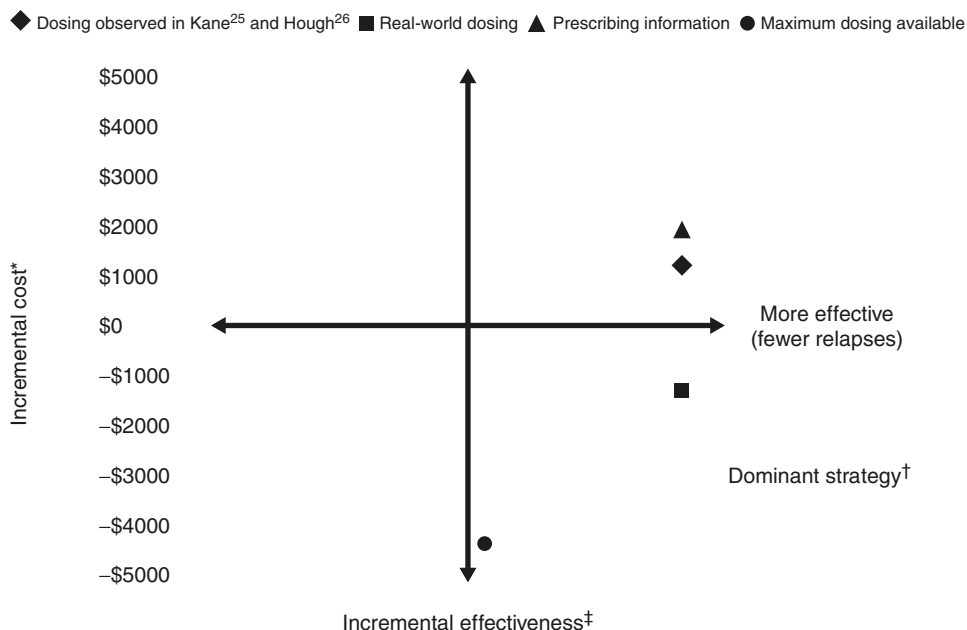


Figure 2. Overall summary of the cost-effectiveness of AOM compared with PLAI for the four dosing strategies. AOM, aripiprazole once-monthly; LAI, long-acting injectable; P, paliperidone once-monthly; PPPY, per patient per year. \* Total cost of AOM minus total cost of PLAI treatment strategy PPPY; †'Dominant' defined as fewer relapses and lower total costs; ‡Relapses in AOM minus relapses in PLAI treatment strategy PPPY.

Table 5. Dosing strategy analyses: USD/relapse averted.

	Cost <sup>*,†</sup>		Relapses <sup>*</sup>		ICER (\$/relapse averted)
	Annual drug + medical costs	Δ‡	Annual	Δ‡	
Dosing observed in Kane <i>et al.</i> <sup>25</sup> and Hough <i>et al.</i> <sup>26</sup> trials§					
PLAI	\$20,377	–	0.2774	–	–
AOM	\$21,653	\$1276	0.1813	–0.0961	\$13,280
Real-world dosing§					
PLAI	\$22,371	–	0.2774	–	–
AOM	\$21,147	–\$1224	0.1813	–0.0961	DOMINANT
Dosing based on prescribing information§					
PLAI	\$19,875	–	0.2774	–	–
AOM	\$21,794	\$1919	0.1813	–0.0961	\$19,968
Highest available dosing, equivalent treatment efficacy§					
PLAI	\$26,219	–	0.1858	–	–
AOM	\$21,794	–\$4425	0.1813	–0.0045	DOMINANT

AOM, aripiprazole once-monthly; ICER, incremental cost-effectiveness ratio = incremental cost (cost of AOM minus cost of PLAI)/incremental effectiveness (difference between # of relapses in AOM and number of relapses in PLAI); LAI, long-acting injectable; P, paliperidone; USD, United States dollars.

\*Values shown per person, per year; †Costs are reported as 2013 USD; ‡Negative values favor AOM; §Drug dosing and annual drug costs, per Table 4; ||'Dominant' defined as fewer relapses and lower total costs.

dominant strategy (more efficacious, less costly) compared with PLAI (Table 5). When dosing from prescribing information was used, AOM continued to have few relapses per dosing, as observed in the Kane *et al.*<sup>25</sup> and Hough *et al.*<sup>26</sup> trials, but with higher overall costs for an ICER of US\$19,968 per relapse averted (Table 5). When the highest available dosing and equivalent treatment efficacy was assumed for both index drugs, AOM was the dominant strategy compared with PLAI (Table 5).

In one-way sensitivity analyses, results were most sensitive to index treatment dose. Parameters such as cost of relapse-related hospitalizations and cost of adverse events were also investigated. In all such sensitivity analyses, AOM was found to be a cost-effective treatment alternative compared with PLAI.

## Discussion

Cost-effectiveness analysis is a method for objectively assessing the value of treatments by evaluating incremental clinical benefit with respect to incremental costs. Ultimately, cost-effectiveness models enable healthcare decision-makers to make informed choices regarding treatment access. Since the use of LAIs in patients with schizophrenia are associated with a reduction in relapses based on improved disease management and better treatment adherence<sup>22</sup>, they may be considered earlier in the course of illness. However, due to high acquisition and administration costs of LAIs, their use may be limited<sup>22</sup>; robust pharmacoeconomic evaluations of these medications are, thus, warranted. Our aim was to develop a cost-effectiveness model to estimate the value of using AOM compared with PLAI administered monthly after considering the clinical effectiveness and costs associated with each treatment.

In the analysis using dosing from the Kane *et al.*<sup>25</sup> and Hough *et al.*<sup>26</sup> trials, model results indicate that the total cost of the AOM treatment strategy was US\$21,653 compared with US\$20,377 for PLAI. It is important to note that, although the model input drug costs were higher by US\$3839 for AOM (US\$17,323 for AOM minus US\$13,484 for PLAI), the model output indicates that the total cost differential, including medical and drugs costs between the two treatments, is only US\$1276 per patient per year (US\$21,653 for AOM minus US\$20,377 for PLAI). This result highlights the cost-offset opportunity presented by AOM due to fewer relapse and costs associated with adverse events compared with PLAI. Hence, the use of AOM in patients with schizophrenia improves clinical outcomes by reducing relapses at an increased cost of US\$13,280 per relapse averted. The higher drug costs for AOM were partially attributed to longer time on treatment (i.e., additional monthly injections) because 75% of patients initiating AOM remained on treatment throughout the year compared with 67% of patients initiating PLAI. Similar results were predicted using dosing from the prescribing information, further substantiating AOM as a cost-effective treatment. In the absence of a widely accepted cost-effectiveness threshold in the US for incremental cost per schizophrenia relapse averted, it can be argued that, since the ICER for AOM is US\$13,280, which is approximately half the cost of an inpatient relapse (US\$25,395), AOM offers improved clinical benefit compared with PLAI at a reasonable cost to payers. Overall, results based on four different dosing assumptions indicate that, depending on the dosing strategy, treatment with AOM was either the dominant strategy (i.e., fewer relapses and lower total costs) or a strategy that was associated with fewer relapses at a reasonable incremental cost compared with PLAI.

Given the heterogeneous characteristics of schizophrenia and patient treatment response, including tolerability, treatment access barriers such as step-edits and prior-authorization policies may restrict physicians from selecting appropriate treatments for their patients<sup>42</sup>. Several studies evaluating the impact of restrictive access policies on outcomes suggest that restrictive policies may negatively impact patient outcomes and result in higher medical costs<sup>43–45</sup> and also lead to higher rates of treatment discontinuation<sup>44</sup>. Health plans expect access barriers to increase cost savings; however, previous studies demonstrated no overall impact of the restrictive policy on resource utilization and costs<sup>42,46</sup>.

Since short gaps in medication adherence increase the risk for relapse<sup>47</sup>, health plans should avoid imposing treatment access barriers for patients with severe mental illnesses. Considering that step-edits and prior-authorization policies may not positively affect outcomes such as resource use, costs, and treatment adherence among patients with schizophrenia, providing open access to cost-effective treatments such as AOM may be a suitable formulary management policy for health plans.

Another important consideration for health plans is the use of real-world data for decision-making. Real-world monthly maintenance dosing of PLAI in patients with schizophrenia has been reported to range from 157 mg to 172 mg by Kamut et al<sup>41</sup> compared with a recommended maintenance dose of 117 mg in the prescribing information<sup>21</sup>. These results provide evidence for the treatment-related cost advantage of AOM and, as such, an alternative treatment choice for patients with schizophrenia.

While there were a number of model variables that had some amount of uncertainty, the main model input that resulted in variation in results was in the dose of each index therapy used. Since the severity of a relapse imposes a great burden on the patient and informal caregivers, it can be challenging to fully demonstrate the affect of treatments on clinical and quality-of-life benefits. Considering that AOM improved clinical outcomes by reducing relapses, the results emphasize the value of AOM treatment for patients with schizophrenia.

The outcome of cost per schizophrenia relapse averted was selected since the results may be more accessible to patients, clinicians, and payers than dollars per quality adjusted life year (QALY). In the US, the use of QALY as an outcome is not widely accepted in routine formulary decision-making, due to the substantial assumptions made when considering this measure, and data on quality adjustments are limited and/or inconsistent between sources. Further, QALYs may not be the most appropriate effectiveness measure in the context of a short-term (1-year) evaluation model in the US.

Overall, the results showed that AOM is a cost-effective treatment compared with PLAI. In technical cost-effectiveness terms, AOM was the dominant strategy

compared with PLAI when real-world dosing and highest available dosing with equivalent treatment efficacy were assumed. AOM remained a cost-effective treatment option compared with PLAI when more conservative dosing strategies were considered in the analysis.

AOM and PLAI, despite both being categorized as second-generation antipsychotics, are quite different in terms of their overall pharmacodynamic profiles. These profiles may translate to differences in efficacy and tolerability when treating individual patients<sup>48</sup>. A patient's past history of sensitivity toward specific adverse events may influence their values and preferences regarding antipsychotic choice. These include adverse events such as alterations in weight and metabolic variables, sedation, extrapyramidal symptoms, and akathisia, and the effects of elevations in plasma prolactin.

## Limitations

Results of this analysis must be considered in light of its limitations. The analysis was based on data from separate registrational placebo-controlled clinical trials, where adherence is optimized. It is possible that results would be different if compared in real-world settings. The analysis should also be considered in light of the assumptions made regarding drug dosing. Real-world PLAI dosing is higher than that used in the clinical trial data, which was used to inform model efficacy<sup>26</sup>, and dosing of AOM has been shown to be lower than dosing reported in the trial used for estimating AOM model efficacy (Table 4)<sup>25</sup>. However, the precise differential efficacy of PLAI at higher doses is not known, and, if higher doses result in increased treatment efficacy, this could have biased the results of the scenario analysis in favor of AOM.

There was additional uncertainty about the composition and efficacy of the SOC LAI. In defining the treatments after discontinuation of the index therapy, a simplifying assumption was that patients receiving the SOC LAI were equally distributed between using fluphenazine LAI, haloperidol LAI, and risperidone LAI. In clinical practice, there are additional treatments that patients may receive after discontinuing index therapy, that were not considered in the analysis. In sensitivity analyses, the cost of the SOC LAI was varied, and results were reasonably stable even when making extreme assumptions about the composition of the SOC LAI. In addition, it was assumed that patients remained on these treatments for the remainder of the year without the possibility of discontinuation, which may not reflect true clinical practice.

This study may not be generalized to other populations in other treatment settings and/or countries where costs are substantially different. Additionally, this study did not include societal costs (i.e., those not borne by the payer). While these costs are an important consideration, they are



difficult to quantify and may bring in unwarranted subjectivity into the interpretation of the results.

## Conclusions

In terms of cost-effectiveness, results ranged from AOM either having fewer relapses and lower costs or providing clinical benefits at a reasonable cost compared with PLAI. Given the negative impact that restrictive access policies may have on patient outcomes, health plans may consider providing open access to cost-effective treatments such as AOM based on its favorable clinical and economic benefits in the treatment of schizophrenia.

## Transparency

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### Declaration of financial/other relationships

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